#### Machine Learning

#### Lecture 10 Evolution Programming and Genetic Algorithms

#### Any associations ...



#### **Evolution theory**

Evolution is based upon the distinction

**Phenotype:** The observable characteristics of organism (behavior, physical attributes, mental attributes)

**Genotype:** Controls development of characteristics, given an environment; governs inheritance of ability to express characteristics.

**Gene:** A collection of genetic units (e.g., nucleotides) which govern the development of some characteristic.

Chromosome: A string of genetic units.

#### Evolution based on:

- **Reproduction** with **cross-over**. Inheritance and mixture of features provide the keeping of useful features (stability) and variability (plasticity). Offspring always distinct from parents
- Selection of most perspective individuals in population for producing of next generation. Selection (in AL) is controlled by value of fitnessfunction. It simulates natural selection (controlled by deaths).
- **Mutation** provides more strong variations than crossover A.V.Gavrilov 4

#### **Sexual reproduction**

#### Sexual reproduction

- Brings together chromosome strings (homologous pairs)
- Crosses them over (mixes them)
- Separates the results



 $\Rightarrow$  This is a source of genetic variation: Offspring are always distinct from parents.

#### **Natural Selection**

Individuals that fail to reproduce withdraw their generation from the gene pool

Differential reproduction is the engine of evolution

What remains/flourishes in gene pool? Whatever enhances reproductive success:

- strength
- wit
- sexually attractive features (e.g., big feathers, bright colors, varied song)

"Nature red in tooth and claw"

Basically, a Social Darwinism/Nazi distortion of evol theory

#### How does it work?

Selection can only *shrink* the gene pool

Something fails to reproduce

That can't bring about new adaptations

• Eyeballs, wings, etc.

Selection must operate upon a gene pool constantly renewed by new sources of diversity:

Mutation via copy errors, radiation, chemical mutagens, cosmic rays, etc.

Sexual reproduction: crossover and recombination

**Recessive and polygenic characters** 

Deletion, duplication, inversion

A.V.Gavrilov Kyung Hee University

#### Self-Reproduction in Computers

• An old mathematical problem, to write a program that can reproduce (e.g., print out a copy of itself) leads to infinite regress.

#### Solution to Infinite Regress

- Solution in the von Neumann computer architecture. He also described a "self-reproducing automaton"
- Basic idea
  - Computer program stored in computer memory
  - A program has access to the memory where it is stored
  - Let's say we have an instruction MEM that is the location in memory of the instruction currently being executed

### A Working Self-Reproducing Program

1	Progr	am copy	
2		L = MEM + 1	
3		<pre>print("Program copy")</pre>	
4		print("L = MEM + 1")	
5		LOOP until line[L] = "end"	
6		<pre>print(line[L])</pre>	
7	L=L+1		
8		<pre>print("end")</pre>	
9	end		

### Self-Reproducing Program

- Information used two ways
  - As instructions to execute
  - As data for the instructions
- Could make an analogy with DNA
  - DNA strings of nucleotides
  - DNA encodes the enzymes that effect copying: splitting the double helix, copying each strand with RNA, etc.

#### **Evolution in Computers**

- Genetic Algorithms most widely known work by John Holland
- Based on Darwinian Evolution
  - In a competitive environment, strongest, "most fit" of a species survive, weak die
  - Survivors pass their good genes on to offspring
  - Occasional mutation

### **Evolution in Computers**

- Same idea in computers
  - Population of computer program / solution treated like the critters above, typically encoded as a bit string
  - Survival Instinct have computer programs compete with one another in some environment, evolve with mutation and sexual recombination

#### GA's for Computer Problems

Population of critters  $\rightarrow$  Population of computer solutions Surviving in environment  $\rightarrow$  Solving computer problem Fitness measure in nature  $\rightarrow$  Fitness measure solving computer problem Fit individuals life, poor die  $\rightarrow$  Play God and kill computer solutions that do poorly, keep those that do well. i.e. "breed" the best solutions typically **Fitness Proportionate Reduction** Pass genes along via mating  $\rightarrow$  Pass genes along through computer mating Repeat process, getting more and more fit individuals

in each generation.

Usually represent computer solutions as bit strings.

## "Digital life of programs"

- Cellular Automata: Artificial chemistry or physics substrate to support living systems.
- Alternative: Use the "chemistry" of the von Neumann computer as a substrate for lifelike behavior:
  - Core Wars
  - Tierra
  - Avida
- Analogy:
  - Organic life uses energy (from the sun) to organize matter.
  - Digital life uses CPU time to organize memory.
- Organic life evolves through natural selection as individuals compete for resources (light, food, space).
- Digital life evolves through the same process, as replicating algorithms compete for CPU time and memory space.

### The Digital Environment

- Abiotic Environment
  - Memory
  - CPU
  - Operating system
- Creatures
  - Self-replicating assembly-language programs
  - Analogy to RNA world (same structure carries genetic information and performs metabolic activity)
  - Machine instructions <-> Amino acids (chemically active)

# Tierra (1)

- Virtual computer:
  - MIMD (time-sharing model)
  - One processor for each creature.
- Each processor (CPU):
  - 2 address registers
  - 2 numeric registers
  - flags (for errors)
  - stack pointer
  - 10-word stack
  - instruction pointer
- Each CPU performs a perpetual cycle:
  - Fetch-Decode-Execute-Increment IP
  - Fetch the instruction addressed by IP
  - Decode it
  - Execute the instruction
  - Increment the IP to next sequential location in RAM (except in case of JMP, CALL, or RET)
    A.V.Gavrilov

Kyung Hee University

# Tierra (2)

- 1 RAM for all CPUs (the soup):
  - Memory protection within allocated block of memory (write protection, not read protection).
- Instruction set:
  - 32 instructions including operands
  - Pattern-based addressing
  - E,g,
    - JMP NOP0 NOP0 NOP0 NOP1
    - System will look outwards in both directions from JMP instruction to the nearest occurrence of a complementary pattern (NOP1 NOP1 NOP1 NOP0)
    - If Found, IP jumps to end of pattern and resumes execution.
  - Everything else standard (MOV,CALL, RET, POP, PUSH)
  - Copy and fork built in.
  - DIVIDE instruction: Creates a new IP (cell division)
- Reaper (death)
  - Removes creatures that have errors
  - Removes creatures that have lived the longest
- Slicer:
  - Allocates time slices

#### A.V.Gavrilov Kyung Hee University

#### Tierra (3)

- Mutation:
  - Instructions sometimes off by +/- 1.
- Ancestor:
  - Hand-craft a single minimal self replicating program.
  - 80 instructions long.
  - Locate beginning and ending address.
  - Subtract to determine size.
  - Allocate block of memory for daughter.
  - Copy genome to new memory 1 cell at a time.
  - Execute DIVIDE to create a new IP (cell division).

#### Tierra. Results

- Ancestor replicated to fill RAM.
- Diversified through mutation.
- Length shrank from 80 instructions to 45
  - Parasites hijacked other programs' copying routines.
- Parasites bommed, then crashed.
- Hosts evolved immunity to the parasites.
  - Analogy: E. coli develop immunity to bacteriophage.
- Arms Race:
  - Hosts
  - Parasites
  - Immune hosts
  - Parasites overcome immune hosts
  - Symbionts
  - Cheaters
  - Super-parasites
    A.V.Gavrilov
    Kyung Hee University

## The Simple Genetic Algorithm

- 1. Generate an initial random population of M individuals (i.e. programs)
- 2. Repeat for N generations
  - 1. Calculate a numeric fitness for each individual
  - 2. Repeat until there are M individuals in the new population
    - 1. Choose two parents from the current population probabilistically based on fitness (i.e. those with a higher fitness are more likely to be selected)
    - 2. Cross them over at random points, i.e. generate children based on parents (note external copy routine)
    - 3. Mutate with some small probability
    - 4. Put offspring into the new population

### Genetic algorithm



A.V.Gavrilov Kyung Hee University

#### An Abstract Example

Fitness function



Distribution of Individuals in Generation 0



Distribution of Individuals in Generation N

A.V.Gavrilov Kyung Hee University

#### **GA parameters**

Parameters to a GA simulation run include:

- Population size
- Selection method. Possible choices:
  - Fitness proportional probability
  - Retain top k
  - Tournament selection
  - etc.
- Mutation rate; meta-mutation?
- Crossover
  - One-point
  - Two-point
  - Uniform

#### Crossover

Typically use bit strings, but could use other structures

Bit Strings: Genotype representing some phenotype

Individual 1:	00101 <b>0001</b>	Individual 2:	<b>10011</b> 0110
New child :	100110001	has characteri	stics of

both parents, hopefully

better than before

Bit string can represent whatever we want for our particular problem; solution to a complex equation, logic problem, classification of some data, aesthetic art, music, etc.

> A.V.Gavrilov Kyung Hee University

#### Simple example: Find MAX of a function



To keep it simple, use y=x.soabigger X is better Kyung Hee University

#### **Chromosome Representation**

Let's make our individuals just be numbers along the X axis, represented as bit strings, and initialize them randomly:

Individual 1	•	000000000
Individual 2	•	001010001
Individual 3	•	100111111
• • • •		
Individual N	•	110101101

Fitness function: Y value of each solution. This is the fitness function. Note that even for NP complete problems, we can often compute a fitness (remember that solutions for NP Complete problems can be verified in Polynomial time). Say for some parents we pick: A.V. FOOD 11111 and 110101101 27

#### Crossover

Crossover: Randomly select crossover point, and swap code 100111111 and 110101101 Individual 1: 10011**1111** Individual 2: **11010**1101 New child : 110101111 has characteristics of both parents, hopefully better than before Or could have done: Individual 1: **10011**1111 Individual 2: 11010**1101** New child: 100111101 ; not better in this case

#### Mutation

# Mutation: Just randomly flip some bits ; low probability of doing thisIndividual:011100101New:111100101

Mutation keeps the gene pool active and helps prevent stagnation.

#### Second Example : TSP

- NP-Complete
- NP-Complete problems are good candidates for applying GA's
  - Problem space too large to solve exhaustively
  - Multiple "agents" (each individual in the population) provides a good way to probe the landscape of the problem space
  - Generally not guaranteed to solve the problem optimally

- Formal definition for the TSP
  - Start with a graph G, composed of edges E and vertices V, e.g. the following has 5 nodes, 7 edges, and costs associated with each edge:



 Find a loop (tour) that visits each node exactly once and whose total cost (sum of the edges) is the minimum possible A.V.Gavrilov Kyung Hee University • Easy on the graph shown on the previous slide; becomes harder as the number of nodes and edges increases



- Adding two new edges results in five new paths to examine
- For a fully connected graph with n nodes, n! loops possible
  - Impractical to search them all for more than about 25 nodes
- Excluding degenerate graphs, an exponential number of loops possible in terms of the number of nodes/edges<sup>2</sup>

- Guaranteed optimal solution to TSP
  - Evaluate all loops
- Approximation Algorithms
  - May achieve optimal solution but not guaranteed
  - Nearest Neighbor
  - Find minimum cost of edges to connect each node then turn into a loop
  - Heuristic approaches, simulated annealing
  - Genetic Algorithm

- A genetic algorithm approach
  - Randomly generate a population of agents
    - Each agent represents an entire solution, i.e. a random ordering of each node representing a loop
      - Given nodes 1-6, we might generate 423651 to represent the loop of visiting 4 first, then 2, then 3, then 6, then 5, then 1, then back to 4
      - In a fully connected graph we can select any ordering, but in a partially connected graph we must ensure only valid loops are generated
  - Assign each agent a fitness value
    - Fitness is just the sum of the edges in the loop; lower is more fit
  - Evolve a new, hopefully better, generation of the same number of agents
    - Select two parents randomly, but higher probability of selection if better fitness
    - New generation formed by crossover and mutation

- Crossover
  - Must combine parents in a way that preserves valid loops
  - Typical cross method, but invalid for this problem Parent 1 = 423651 Parent 2 = 156234Child 1 = 423234 Child 2 = 156651
  - Use a form of order-preserving crossover: Parent 1 = 423651 Parent 2 = 156234Child 1 = 123654
    - Copy positions over directly from one parent, fill in from left to right from other parent if not already in the child
- Mutation
  - Randomly swap nodes (may or may not be neighbors)
    A.V.Gavrilov

### Why does this work?

- How does a GA differ from random search?
  - Pick best individuals and save their "good" properties, not random ones
- What information is contained in the strings and their fitness values, that allows us to direct the search towards improved solutions?
  - Similarities among the strings with high fitness value suggest a relationship between those similarities and good solutions.
    - A schema is a similarity template describing a subset of strings with similarities at certain string positions.
    - Crossover leaves a schema unaffected if it doesn't cut the schema.
    - Mutation leaves a schema unaffected with high probability (since mutation has a low probability).
    - Highly-fit, short schema (called building blocks) are propagated from generation to generation with high probability.
  - Competing schemata are replicated exponentially according to their fitness value.
  - Good schemata rapidly dominate bad ones.

#### TSP Example: 30 Cities (J.Abonyi, J.Madar)



A.V.Gavrilov Kyung Hee University

#### Solution (Distance=941)



#### Solution (Distance=800)



Kyung Hee University

#### Solution (Distance=652)



A.V.Gavrilov Kyung Hee University

#### Best solution (Distance=420)



#### Overview of performance



### Advantages of GA's

Easy to understand and implement Easy to adapt to many problems Work surprisingly well Modular, separate from application Supports multi-objective optimization Good for "noisy" environments Inherently parallel; easily distributed Many variations are possible

(elitism, niche populations, hybrid w/other techniques) Less likely to get stuck in a local minima due to randomness

#### Problems of GA's

- Need diverse genetic pool, or we can get inbreeding : stagnant population base
- No guarantee that children will be better than parents could be worse, could lose a super individual

elitism- when we save the best individual

- Very slow methods for optimization
- Sometimes definition of task and programming are difficult. Effectiveness of usage of GA depend on definition of task (e.g. structure of chromosome and semantics of genes)