ATR and Homeland Security

Louis A. Tamburino e-mail: ltamb@cs.wright.edu

(formerly with Air Force Research Lab)

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Things are now different



Outline

- What is Automatic Target Recognition (ATR)
- Terrorist threats
- Examples of HS applications
 - Biometrics
 - Airport security
 - Border and transportation
 - Activity Monitoring
- ATR research examples
- Conclusions





Density

The role of effective atomic number and density in separating explosives from other material. Used in dual energy X-ray systems.

Automatic Target Recognition

- Automatic Target Recognition (ATR) receives sensor data as input and provides target classes, probabilities, locations and orientations as output.
- ATR involves the use of training and test sets, features, classifiers and discriminators for design and development of algorithms.



<u>Moving and Stationary Target</u> <u>Acquisition and Recognition (MSTAR)</u>





Higher-Level Image Processing



• *Computer vision, image understanding, machine vision*, or *image analysis* are terms that refer to systems for transforming images into descriptions related to scenes.

Some Current ATR Challenges

- Combinatorial explosion of target signature variations
- Complex backgrounds (false alarm rates)
- Prediction of field performance
- Real time operations (new target insertion)
- Expensive data bases and software development effort

Closely Related Areas and Tools

- Artificial Intelligence: expert systems and machine learning
- Cognitive Sciences and Biological Perception
- **Soft Computing:** evolutionary computing, neural networks, fuzzy sets
- Mathematics: geometry, topology, harmonic analysis, algebra, probability/statistics ,graph theory, nonlinear optimization, approximation theory, numerical analysis, parameter estimation
- Sensors: design and modeling

Lockerbie Scotland, 1988

2 • Technology Against Terrorism: The Federal Effort



Photo credit: Federal Aviation Administration

OAT-ISC-487

Flight deck of commercial aircraft destroyed by terrorist bomb; Lockerbie, Scotland, 1988.

From 1985-97, eight aircraft and 1100 people died in suspected terrorist bombings

Terrorist Technology

- Explosives run the gamut from nuclear fission, fusion, or dirty nuclear bombs through plastic explosives, to pipe bombs, or simple hand grenades.
- There are biological agents including viruses, bacteria, micro-organisms.
- Chemical agents encompass nerve gases, cyanides, phosgene, and vesicants.

Intended Targets Include

- Transportation and telecommunications
- Air, water and food supplies
- Energy sources and distribution channels
- Financial and computer networks
- Factories; key buildings
- Population groups
- Prominent individuals.

Means of Delivery

- Mail (anthrax letters)
- Internet
- Missiles and aircraft (9/11)
- Ships and submarines
- Trains, trucks, auto
- On foot
- By remote detonation

DARPA's Top Technology Needs

Gathering Information

- Biometrics
- Border&Transportation Security
- Image understanding
- Bio-chem sensors
- Data mining

Gathering Knowledge/Intelligence

- Early warning & profiling tools
- Fusion of information and data
- Decision support systems
- Language understanding

Conference Topics/Speakers

ATR & Examples: biometrics, scanning systems, traffic monitoring (Tamburino)

Biometrics (Guiterrez) Surveillance sys. (Parent) Data mining (Dong) **Biocomputing** (Ewing) Visualization Tools (Lajerskar) Security Offender (Krane) Supercomputing (Stutz) Electric Grids(Tsoukaias) Security vs. privacy (Bowyer) Information Security (Bourbakis) Mobile Computing (Agrawal) Security systems (Mateti) Mobile computing (Agrawal) Secure knowledge (McQuay) Database security (Chung) HS Computing (Narayanan)

Biometrics refers to a set of technologies that utilize human characteristics or behavioral traits to identify particular individuals.

• Example of fingerprint analysis systems, which can recognizes a print in < 0.5 sec. (*photo from NTT in Japan*)



Mainstream Biometrics*

Biometric	Identify vs. Verify	How Robust	How Distinctive	How Intrusive
Fingerprint	Either	Moderate	High	Touching
Hand/Finger Geometry	Verify	Moderate	Low	Touching
Retinal Scan	Either	High	High	1-2 in.
Iris Scan	Either	High	High	12+ in.
Facial Recognition	Either	Moderate	Moderate	12+ in.
Speaker Recognition	Verify	Moderate	Low	Remote
Dynamic Signature Verification	Verify	Low	Moderate	Touching
Keystroke Dynamics	Verify	Low	Low	Touching
*The RAND Biometric Team, ARROYO CENTER (Based on work done for the U.S. Army June 2000)				

Voice Biometrics

- Non-intrusive and natural to use
- Callers access the system using a standard telephone, identify themselves with a unique user ID and speak a pass phrase. (verification)
- Voice print cannot be lost or stolen
- Pass phrase compared to voice print template
- Provides verification

Hand Recognition

- Biometric verifications systems use the size and shape of a person's hand to help speed them through border crossings.
- This technology is already at work at Israel's Ben Gurion International Airport with millions of inspections already completed. (*Recognition Systems, Inc.*)

Retina Scan Technology



- Along with iris recognition technology, retina scan is perhaps the most accurate and reliable biometric technology.
- Among the most difficult to use, and is perceived as being somewhat intrusive.
- Enrollment failure is 5-10 % (iris scan biometrics has similar difficulty).

Accuracy and Performance

- Retina scan one inch from capture device.
- User looks at a rotating green light as the patterns of the retina are measured at over 400 points. (fingerprint provides 30-40 distinctive points)
- False Accept. Rates (FAR) as low as .01%
- False Rejection Rate (FRR) as high as 10%

Facial Pattern Recognition

- Segmentation crops faces from background
- Face Detection single or multiple faces in complex scenes
- Face Recognition -either of the following:
 - Authentication (one-to-one matching)
 - Identification (one-to-many matching)
- **Tracking -** known face over multiple video frames
- **Robust** pose, lighting, etc.
- **Template representation** minimal number of bits



Visionics' FaceIT®

- State-of-the are system
- Generates ID codes

 "based on 80 unique aspects of facial
 structures, like the
 width of the nose and
 the location of the
 temples"





How It Works

- FaceIt® Uses Local Feature Analysis (LFA) to represent facial images in terms of local statistically derived building blocks
- All facial images can be synthesized from a set of basic building elements.
- LFA uses sophisticated statistical techniques to derive them from a representative ensemble of faces

How It Works



- There are many more facial building elements than there are facial parts.
- However, only a small subset is needed to synthesize a given facial image
- Identity is determined by which elements are characteristic and their relative positions

Visionics' FaceIT®



- Faceprint A digital code or internal
- template, unique for each person
- **Compressions** facial images down to 84 bytes
- **Pose variations** up to 35 degrees in all directions
- **Resistant to changes** in lighting, skin tone, eyeglasses, facial expression and hair
- Scans millions of records in the blink of an eye

FaceIT® Performance

%

Correct

80

70

60

50

40

30

20

10



Figure 1 – Identification test performance. Rank 1st identification performance as a function of gallery size

Other Public Companies

Identix

Figure 2 – Verification (authentication)performance at different false acceptance rates.

The Government's Facial Recognition Vendor Test 2002 was independently evaluated by DOD, NIJ, DAPA, and NAVSEA

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Seat-Based Body Sensors

 "The thin-film sensors could aid cabin crew in monitoring passengers for things like anxiety or high stress or someone who has been motionless for some time," said Mel Foster of QinetiQ Plc, the British governmentowned company behind the sensors.



• \$10 sensor is essentially a polygraph built into each seat.

July 25, 2002 DOJgov.net newswire FARNBOROUGH, England

Border and Transportation (Air, Rail, Sea, Surface)

- Security screening of:
 - -People
 - Baggage and package search
 - Entry Point (Cargo) Screening
- Searching for terrorist threats:
 - Explosives and weapons
 - Chemical and biological substances

Commercial Airport Security

HOLES IN THE SECURITY NET

A major airport employs thousands of people working for dozens of companies. Although only "badged" workers should have access to a plane, and passengers and bags are screened, there are myriad opportunities for workers and passengers to bypass security points





CURBSIDECURBSIDECHECK-INRESTAURANTSSECURITYBOARDINGON THE TARMACVehiclesBaggaePeopleCargo, VehiclesTIME graphic by Joe Lertola;Source: Dr. Todd Curtis, AirSafe.com

Security Screening Technology

- **Emerging technologies** are based on either imaging or trace detection.
- **Trace detection** samples very small quantities of air or material from the clothing or bodies of people to perform a chemical analysis.
- **Imaging techniques** include x-rays, gamma rays, millimeter and microwave systems.

Human Element

- Airport screener's training in US is 12 hr
- Starting salaries \$6.00 or less and turnover rates approx. 200% (one airport with 416%)
- Large airport checks millions of pieces of luggage per year (requires 6 sec. per item).
- In 1987 screeners missed 20% potentially dangerous objects (rate is still typical)
- Hence demand for ATR

Backscatter X-ray System AS&E BodySearchTM

- Scanning requires subject stand front of scanner for several second and the same for rear scan.
- Radiation is safe (2 0,000 x smaller than a medical X-ray)
- BodySearch is available commercially
- However research is still being conducted to explore automated image analysis.



Concealed weapons are readily seen.

AS&E BodySearch



- High Z materials
 Low Z materials
 - appear dark
 - good absorbers
 - -metals

- appear bright
- good scatters
 - drugs, explosives
 - body itself
Millimeter-wave Portal



Developed by Battelle's Pacific Northwest National Laboratory (PNNL)

for FAA to scan airline passengers and licensed to SafeView Inc.

Courtesy: FLC Far West News

Holographic Imaging

- When someone walks through the portal, the person is "illuminated" with high-frequency radio waves that form a detailed picture on the screen
- Very low power is safe for human body. (except for privacy concerns)
- System detects plastic or ceramic weapons, plastic explosives, and other non-metallic contraband
- Holographic images are interpreted by operator, but research is being done to automate threat detection capabilities needed for interpretation.



Holographic image of subject in millimeter-wave portal develop by Battelle Northwest National Laboratory. Array operating in the Ku band (10-20GHz).

How It Works

- System projects ultrahigh frequency, low-powered radio waves onto the front and back of the person being screened.
- These waves penetrate clothing and bounce off the person and carried objects.
- A sensor array captures the reflected waves.
- Computer analyzes the information and produces a high-resolution, 3D image.
- Operator screens for suspicious materials.

Explosive Detection System (EDS)

- As a result of losses due to terrorist bombings, research into advanced screening technology has become a priority.
- Most concern is checked and early-on baggage.
- Other concerns relate to monitoring for weapons and detecting illegal objects.
- As detection technology advances so have methods to disguise such materials.

Conventional X-rays

- High quality transmission images are derived from measuring the degree of absorption encountered.
- Devices cannot distinguish between a thin sheet of strong absorber and a thick slab of weak absorber.
- Explosives not obvious to operators.

S. Singh, M. Singh / Signal Processing 83 (2003) 31-55



Some examples of the conventional X-ray of luggage at airports.



The role of effective atomic number and density in separating explosives from other material.

Dual Energy X-ray

- At higher energy levels, above 100 KV, absorbed energy depends primarily on the density of the material.
- At lower energies it depends mainly on the effective atomic number as well as the thickness of the material.
- Better than single energy; however, false alarm rate is roughly 20% due to confusion of material density.(volume measuring techniques needed)

Explosive Detection System(EDS)

- The CTX 9000 DSi (InVision Technologies)
- Worlds fastest EDS
- Proven effective in detecting explosives
- Based on computed tomography technology which operates like medical CAT
- Dual energy option possible,but not implemented.

The CTX 9000 DSi system is the world's fastest FAA-certified explosives detection system (EDS). FAA-certified at 542 bags per hour (operational modes yielding even higher throughputs).



Installed in 90% of US airports

•Computer determines which areas need "slice" images, taken by the rotating X-ray source. (Operator can also direct CAT scans)



How it works

- Phase one: similar to conventional airport scan
- Phase two: CAT scans of suspicious areas to determine density, texture, mass and shape of object. (Gets missed sheet explosives)
- Since CT scan produces true cross section slices, it is able to identify objects that are surrounded by other materials or hidden by innocuous objects.

Alternative to X-rays



Could you spot the dangers in this monochromatic X-ray of a piece of luggage? Some say that hidden bombs and contraband could more easily be "sniffed out" using advanced neutron scanning techniques. (InVision/AP Photo)

Neutron Bomb Sniffer

Alternative to X-rays technology (market leader)

- The *Cargo Inspector* from Ancore (recently acquired by OSI Inc.)
- Promises better information about potential threats drugs and explosives
- Ancore claims faster and more effective than X-ray machine
- Size of car wash and costs \$10 million
- Has tough critics in high places

How it Automatically Detects Drugs and Explosives

- Uses short burst of neutrons to produce gamma rays
- Gamma rays used to generate 3D images and nuclear signatures
- ATR software determines the components of specific materials

Future Bomb Sniffer in a Shoe Box

- Developed at Oak Ridge (Tenn.) National Laboratory to detect plastic explosives
- Based on microcantilevers that are used for detecting minute quantities of biological molecules such as DNA and proteins
- Thousand times more sensitive and cantilever costs about a dollar
- See Science News, 23 Aug. 03, Vol. 164, p116

How It Works

- Microcantilever surface is coated with layer of gold and then a one-atom thick layer of acid that normally binds to PETN and RDX
- When molecules bind to acid they cause coat to stretch and bend surface in proportion to amount of binding
- Laser detects the amount of curvature in the cantilever

Entry Point Screening

- The Entry Point Screening Program is a comprehensive program focuses on new technologies for screening vehicles, cargo, and mail.
- The **primary concern is large vehicle bombs** followed by detection of chemical, biological, and radiological weapons.
- Examples given here of multiple alternative technologies using X-rays and gamma rays.

Cargo Container Statistics Scope of Problem

- On a yearly basis, more than 17 million containers arrive by ship, truck, and rail. In 2001, Customs processed more than 214,000 vessels and 5.7 million sea containers. (mostly near major centers)
- Each container has the potential to conceal a dirty bomb or a bio-chemical weapon and yet < 2% are opened and inspected.

Entry Point Screening ARACOR's EagleTM

- The Eagle can be used to rapidly inspect cargo at entrances to military bases, government offices, and critical facilities, such as nuclear power plants.
- The Eagle is the only system capable of inspecting fluid-filled trucks, such as those used to destroy US embassies and barracks overseas. (*ARACOR*)

ARACOR's Eagle X-ray System



X-Ray Cargo Detection System Global Security Solutions' MobilSearchTM

- MobileSearch is a truck-mounted mobile back-scatter X-ray detection system that can be used for inspecting containers, vehicles, or any large item where mobility is necessary.
- Several MobileSearch units are in use along the U.S.-Mexico border and overseas.



MobileSearch system in action, scanning a truckload of TV monitors



Backscatter image of AS&E van scanned with MobileSearch



MobileSearch Backscatter X-ray image of a car with cocaine simulant in trunk.



Backscatter image of truck scanned with MobileSearch

Gamma Ray Technology SAIC's VACISTM

- SAIC is major company in this technology
 - Vehicle and Cargo Inspection Systems (VACIS) utilizes Cesium-137 or Cobalt-60 radioisotope
- Compare to X-ray technology
 - Less expensive and easier to maintain
 - Moderate dose emitter and greater penetration
 - Easier to use
 - Higher throughput

Portal VACIS

- For port gates and roadways
- Each year thousands of cars are concealed in cargo containers and exported via seaports and border crossing



Portal VACIS





Portal VACIS's configured here to detect stolen cars concealed in cargo containers.

Railroad VAICS







Mobile VAICS





Pallet VACIS





ATR Research

- Several areas that exemplify state-of-art and future direction
 - -Robot Security Guards
 - Traffic Monitoring
- Research areas involve
 - Distributed camera systems
 - Dynamics and higher order scene descriptions

Real-time Video Intelligence and Automated Monitoring

- Vastly enhances security systems
 - Access control
 - Intrusion detection
 - Perimeter monitoring
- Identifies inconsistencies and abnormalities
 - Environment and human behavior
 - Movements of people, vehicles and objects

Robot Cameras Systems Based on Actions and Personality

- Teach computers "acceptable" and "unacceptable" patterns of behavior
- Developed software able to anticipate if someone is about to mug an old lady or plant a bomb at an airport
- And if it decides that your actions are "undesirable" it can send a warning signal to a security guard or police officer

Future Robot Security Guards

- At Kingston University in London, scientists claim to have developed software, called Cromatica, that can mathematically work out what is likely to happen next.
- It exams CCTV images and compares them to preprogrammed behavioral patterns
- Creator, Dr. Velastin admits "we are still a long way off from machines replacing humans."

Some indication that public cameras displace crime out of the lens view

• Sydney, Australia: \$1-million public camera system accounted for an average of only one arrest every 160 days.



• A face recognition system in Tampa, Fla., failed to identify any individuals in the police database of photos and misidentified some innocents as suspects.

Traffic Monitoring Example

- Video cameras are programmed to *detect anomalies* in traffic patterns
- Cameras tracks a erratic vehicle
- Camera data relayed to ATR computer
- Vehicle identified as one likely to be hostile
- An alarm is then issued

A Forest of Sensors – Tracking <u>Chris Stauffer, MIT AI Lab</u> http://www.ai.mit.edu/projects/vsam/

- Faster computers enabled researchers to model real world dynamic processes.
- Finding correspondence is key problem.
- A robust system should **not** depend on:
 - Careful placement of cameras
 - Background variations such as lighting changes, clutter motion (e.g.\ swaying trees) and slow-moving objects
Input



Example: two views from opposite sides of a parking lot and 10 minutes of tracking data.

Each frame: (x,y) and time stamp of moving object. Objects are linked over multiple frame by a *unique ID*. Courtesy: Chris Stauffer, MIT AI Lab

Rough Alignment Using Moving Objects



Note the residual alignment errors in overlay edges mainly because tract objects are above ground.

Fine alignment using static features on the ground



Activity Monitoring



Use tracking data from 3 multiple views to perform geometric alignment of the images and the tracks.

Activity Monitoring



After geometric alignment of the tracks we can combine tracks from multiple views into a single track.

Spatial-temporal Processing

- The foundation of future ATR systems is detection, tracking, and correlation of specific image features.
- This requires good registration and fusion algorithms, multi-sensor recognition algorithms, and auto-calibration procedures.

Summary

 Example	Automation	Environment	Level	Technology
MSTAR	Н	clear & conceal.	ATR	SAR
Biometrics				
Most Example	es H	controlled	PR	images
Facial Recog.	Н	remote	ATR	video
Border/Trans				
People	L	controlled/ conceal.	HO	X-ray, Radio
Bag. (explosiv	es) L	controlled/ conceal.	HO	CAT, neutron*
Cargo	L	controlled/ conceal.	HO	X-ray,gamma
Behavior Monitorin	ng L	remote	HO	video
Traffic Monitoring	Η	controlled	ATR	video

* Nuclear and chemical signatures are technologies that lend themselves to automation in contrast to image dependent approaches such as Xrays that are currently heavily dependent on human operators (HO).

ATR Research

- There is a need for ATR in the examples that depend on human operators
- ATR is still a relatively young field
- R&D is complex and expensive
 - MSTAR: multimillion dollar project involving over 12 corporations and universities
- Advances in developing automated systems has been relatively slow

Conclusion

- 2003 budget allocates \$37.7 billion to HS
- This should advance ATR research
- ATR will play a major role in both military and domestic defense.
- Hard to forecast full impact of ATR technology on Homeland Security
- Comprehensive computer vision solutions may be a long way off.

Approaches to ATR Design



<u>Hybrid Evolutionary Learning for</u> <u>Pattern Recognition -HELPR</u>



Hybrid Evolutionary Learning for Pattern Recognition -HELPR



Objectives

WRIGHT STATE

UNIVERSITY

MIAMI UNIVERSITY

- Develop technology necessary to automate design and synthesis of pattern otherwise be manually developed by
- Develop discovery algorithms to grow morphological and other processing
- Enhanced ATR recognition systems.
- Reduced need for human expertise.
- Exploit massively parallel computers.
- New and unconventional solutions



Evolutionary computing subjects a population of design objects to a process of reproduction with variation driven by the performance measures.

HELPR Concept





An evolved pattern recognition system



- Morphological / arithmetic features are evolve using GP
- Feature sets are selected using GA
- Sets of feature vectors are classified using a LP
- The final PR system consists of sets of transforms and parameters

Average Learning Curve



Average Number of Detectors





Average Total Accuracy

Thank You